

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Inventor: Yves AUDEBERT et al. Group Art Unit: 3692  
Appln. No.: 09/996,576 Examiner: C. Graham  
Filed: November 30, 2001 Conf. No. 3802  
For: FINANCIAL RISK MANAGEMENT SYSTEM AND METHOD

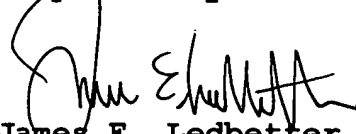
REQUEST FOR CONSIDERATION OF  
INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner of Patents  
Washington, DC 20231

Dear Sir:

With respect to the Information Disclosure Statement filed November 30, 2001, applicants respectfully request that the Examiner initial and return a copy of the previously supplied Form PTO-1449 indicating consideration of the references; for the convenience of the Examiner, a copy of that Form PTO-1449 is attached, as well as a copy of the IDS and PTO date-stamped filing receipt.

Respectfully submitted,



James E. Ledbetter  
Registration No. 28,732

Date: February 6, 2007

JEL/jpf

Attorney Docket No. L741.01110

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COPY

The Official Dating Stamp of the Patent and Trademark Office Hereon is acknowledgment of the filing of:

New Patent Application: 6 pgs. Spec., 43 Claims, Abstract, 4 sheets of drwgs. (Figs. 1- 4); executed Declaration; and PTO-1595 w/Assignment; Information Disclosure Statement w/PTO-1449, w/ 4 US pat. docs.

Description

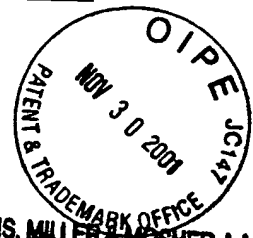
of paper: New Utility Patent Transmittal (in dup.) w/check no. 17412 for \$617.00

Name of

Applicant(s): Yves AUDEBERT, et al.

Serial No.: New Patent Application

Atty. File No.: L741.01110 Sender's Initials: JEL/ejw



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INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner of  
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Washington, DC 20231

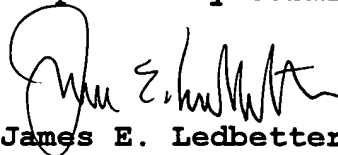
Sir:

Pursuant to Rules 56 and 99, Applicants hereby call the attention of the Patent Office to the reference(s) listed on the attached Form PTO 1449.

Applicants present this art so that the Patent Office may, in the first instance, determine any relevancy thereof to the presently claimed invention, see Beckman Instruments, Inc. v. Chemtronics, Inc., 439 F.2d 1369, 1380, 165 USPQ 355, 364 (5th Cir. 1970). Also see Patent Office Rules 104 and 106.

Applicants respectfully request that this art be expressly considered during the prosecution of this application and made of record herein and appear among the "References Cited" on any patent to issue herefrom.

Respectfully submitted,



James E. Ledbetter  
Registration No. 28,732

Date: November 30, 2001

JEL/spp

ATTORNEY DOCKET NO. L741.01110

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